

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	Tresenriter	Examiner	S.M. Meinecke Diaz
Serial No.	10/652,008	Group Art No.	3694
Filed	August 28, 2003	Confirmation No.	9890
For	System and Method for Making Trades		

September 24, 2007

Mail Stop Amendment
Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application, or date of entry of national application, or before mailing date of first office action on the merits, whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, which ever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
☐ Statement under 37 CFR 1.97(e)(1) or (2), and
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of issue fee)

The Commissioner is hereby authorized to charge any additional fees, or credit any overpayment, to Deposit Account 50-1662 pursuant to 37 CFR 1.25.

☐ Applicant(s) submit(s) herewith Form PTO 1449 – Information Disclosure Statement with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of the application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☒ This Application was filed after June 30, 2003. Therefore, Applicant(s) submit(s) herewith Form PTO 1449 – Information Disclosure Statement without copies of U.S. patents or U.S. publications, but including copies of foreign patents, publications or other information of which Applicant(s) is/are aware, which applicant(s) believe(s) may be material to the

examination of the application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

POLSINELLI SHALTON FLANIGAN SUELTHAUS PC

/James M. Stipek/

James M. Stipek, Reg. No. 39,388
700 W. 47th Street, Suite 1000
Kansas City, Missouri 64112
Tel No: 816-360-4191
Fax No: 816-753-1536